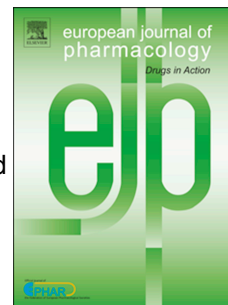


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Ondansetron, a highly selective 5-HT<sub>3</sub> receptor antagonist, reduces L-DOPA-induced dyskinesia in the 6-OHDA-lesioned rat model of Parkinson's disease

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PII: S0014-2999(20)30006-6

DOI: <https://doi.org/10.1016/j.ejphar.2020.172914>

Reference: EJP 172914

To appear in: *European Journal of Pharmacology*

Received Date: 9 September 2019

Revised Date: 12 December 2019

Accepted Date: 7 January 2020

Please cite this article as: Kwan, C., Frouni, I., Bédard, D., Hamadjida, A., Huot, P., Ondansetron, a highly selective 5-HT<sub>3</sub> receptor antagonist, reduces L-DOPA-induced dyskinesia in the 6-OHDA-lesioned rat model of Parkinson's disease, *European Journal of Pharmacology* (2020), doi: <https://doi.org/10.1016/j.ejphar.2020.172914>.

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**Title:** Ondansetron, a highly selective 5-HT<sub>3</sub> receptor antagonist, reduces L-DOPA-induced dyskinesia in the 6-OHDA-lesioned rat model of Parkinson's disease

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**Text pages:** 29

**Word count abstract:** 258

**Word count introduction:** 428

**Word count discussion:** 1518

**Word count manuscript:** 4326

**Number of figures:** 5

**Number of tables:** 0

**Number of references:** 75

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1) Research project: A. Conception, B. Organisation, C. Execution;

2) Manuscript: A. Writing of the first draft, B. Review and Critique.

Kwan: 1B, 1C, 2A, 2B; Frouni: 1C, 2B; Bédard: 1C, 2B; Hamadjida: 1B, 2A, 2B; Huot: 1A,1B,2B

**Acknowledgements:** PH has research support from Parkinson Canada, Fonds de Recherche Québec – Santé, the Weston Brain Institute, the Michael J Fox Foundation for Parkinson's Research, the Natural Sciences and Engineering Research Council of Canada and Healthy Brains for Healthy Lives.

**Financial disclosure:** There are no conflicts of interest. PH has received payments from Valeo Pharma.

**Abstract**

L-3,4-dihydroxyphenylalanine (L-DOPA) has been the standard treatment for Parkinson's disease (PD), despite that its chronic use leads to motor fluctuations and dyskinesia in as many as 95% of patients. Previous studies have shown that serotonin type 3 (5-HT<sub>3</sub>) receptor blockade reduces dopamine levels within the striatum, suggesting that it could potentially lead to a reduction of dyskinesia. Here, we assessed the effects of ondansetron on L-DOPA-induced abnormal involuntary movements (AIMs) in the 6-hydroxydopamine (6-OHDA)-lesioned rat. We performed two series of experiments. First, rats exhibiting stable AIMs were administered ondansetron (0.0001, 0.001, 0.01, 0.1 and 1 mg/kg) or vehicle in combination with L-DOPA, following which the effect of ondansetron on AIMs was assessed. In the second series of experiments, following 6-OHDA lesion, rats received daily administration of ondansetron (0.0001, 0.001 mg/kg) or vehicle, started concurrently with the first L-DOPA dose, and treatments were continued for 22 days. After a washout period, an acute L-DOPA challenge was administered and AIMs severity was assessed. The effect of ondansetron on L-DOPA anti-parkinsonian action was also determined. We found that the addition of ondansetron 0.0001 mg/kg to L-DOPA resulted in a significant reduction of AIMs severity (by 31%,  $P < 0.001$ ), when compared to vehicle. Ondansetron 0.0001 mg/kg, when started concurrently with L-DOPA, also attenuated the development of AIMs, with AIMs being 64% less severe ( $P < 0.05$ ), when compared to L-DOPA/vehicle. Ondansetron did not impair L-DOPA anti-parkinsonian action. Our results suggest that selective 5-HT<sub>3</sub> blockade is a promising strategy to reduce the severity of L-DOPA-induced dyskinesia and may also attenuate its development.

**Key words:** ondansetron, Parkinson's disease, dyskinesia, 5-HT<sub>3</sub> receptor, 6-OHDA, rat

## 1 **1. Introduction**

2            Parkinson's disease (PD) is a neuro-degenerative disorder that affects approximately 1%  
3 of the population aged 60 years and over (de Lau and Breteler, 2006). Dopamine replacement  
4 therapy with L-3,4-dihydroxyphenylalanine (L-DOPA) is the most effective symptomatic  
5 treatment for PD, especially for bradykinesia and rigidity (Mercuri and Bernardi, 2005; Salat and  
6 Tolosa, 2013). However, with long-term L-DOPA intake, as many as 95% of PD patients  
7 develop complications, including motor fluctuations and involuntary movements, termed  
8 dyskinesia (Hely et al., 2005; Hely et al., 1999). Management of dyskinesia is limited to  
9 amantadine, a non-selective *N*-Methyl-D-aspartate (NMDA) antagonist with partial efficacy that  
10 is associated with adverse events (Hauser et al., 2017; Oertel et al., 2017; Pahwa and Hauser,  
11 2017) or deep brain stimulation (Group, 2001; Rothlind et al., 2015; Williams et al., 2010) As  
12 such, there is an unmet therapeutic need to develop therapies for PD patients, as dyskinesia  
13 continues to undermine their quality of life (Thanvi et al., 2007).

14            A growing body of evidence suggests that the serotonin (5-HT) system plays a central  
15 role in the pathophysiology of dyskinesia (Huot and Fox, 2013), through the release of dopamine  
16 as a "false transmitter" (Carta et al., 2007). Agonists of 5-HT type 1A (5-HT<sub>1A</sub>) and type 1B (5-  
17 HT<sub>1B</sub>) auto-receptors suppressed the expression of L-DOPA-induced dyskinesia in the 6-  
18 hydroxydopamine (6-OHDA)-lesioned rat (Carta et al., 2007) and 1-methyl-4-phenyl-1,2,3,6-  
19 tetrahydropyridine (MPTP)-lesioned non-human primate models (Bibbiani et al., 2001; Iravani et  
20 al., 2006). Moreover, administration of 5-HT type 2A (5-HT<sub>2A</sub>) antagonists attenuated dyskinesia  
21 in the MPTP-lesioned marmoset (Hamadjida et al., 2018; Kwan et al., 2019b).

22            The 5-HT type 3 (5-HT<sub>3</sub>) receptor is the sole ionotropic receptor of the 5-HT receptor  
23 family and its distribution in structures of the basal ganglia (Gehlert et al., 1991; Kilpatrick et al.,

1 1989; Laporte et al., 1992) suggests that it may modulate cerebral dopaminergic activity. Indeed,  
2 5-HT<sub>3</sub> agonists stimulate striatal release of dopamine *in vitro* (Benuck and Reith, 1992; Blandina  
3 et al., 1988), an effect that is blocked by 5-HT<sub>3</sub> antagonists (Palfreyman et al., 1993).

4 Because of its effect on dopamine release, we hypothesised that antagonising 5-HT<sub>3</sub>  
5 receptors might translate to an anti-dyskinetic effect. Here, we have tested this hypothesis in the  
6 6-OHDA-lesioned rat, utilising 2 experimental paradigms. We first assessed the effect of 5-HT<sub>3</sub>  
7 blockade on established dyskinesia, following which we evaluated the effect of antagonising 5-  
8 HT<sub>3</sub> receptors on the development of dyskinesia in the context of a *de novo* study. We have  
9 conducted our experiments with ondansetron, a potent and highly-selective 5-HT<sub>3</sub> receptor  
10 antagonist used in the clinic as an anti-emetic to control nausea and vomiting (Butcher, 1993;  
11 Marty et al., 1990).

12  
13

## 14 **2. Material and Methods**

15

### 16 **2.1 Animals**

17 Adult female Sprague-Dawley rats (225 – 250 g, Charles River, Saint-Constant, Quebec,  
18 Canada) were housed in groups of 3 under conditions of controlled temperature ( $25 \pm 1^\circ\text{C}$ ),  
19 humidity, light (12-h light/dark cycle, lights on at 07:00) with *ad libitum* access to food and  
20 water. Upon arrival, rats were left undisturbed to acclimatise to the housing conditions for at  
21 least 5 days before experiments. All experimental procedures described throughout this study  
22 were carried out in accordance with the guidelines of the Canadian Council on Animal Care

1 (CCAC), and approved by the Montreal Neurological Institute Animal Care Committee for  
2 protocol #2017-7922.

## 4 **2.2 Drug treatments**

5 Desipramine hydrochloride, pargyline hydrochloride, 6-OHDA hydrobromide, ascorbic  
6 acid, L-DOPA methyl ester hydrochloride, benserazide hydrochloride and ondansetron  
7 hydrochloride were purchased from MilliporeSigma, Canada. All drugs were dissolved in 0.9%  
8 NaCl unless otherwise specified. 6-OHDA hydrobromide was dissolved in 0.9% NaCl with  
9 0.02% ascorbic acid, L-DOPA was dissolved in 0.9% NaCl with 0.1% ascorbic acid and  
10 ondansetron hydrochloride was dissolved in dimethyl sulfoxide at 100 mg/ml and then diluted to  
11 appropriate concentrations in 0.9% NaCl. All solutions were administered sub-cutaneously (s.c.)  
12 in a volume of 1 ml/kg body weight.

## 14 **2.3 6-hydroxydopamine lesion**

15 Rats were rendered hemi-parkinsonian as previously described (Frouni et al., 2019;  
16 Frouni et al., 2018; Huot et al., 2015). Briefly, rats were pre-treated with a solution of pargyline  
17 (5 mg/kg) and desipramine (10 mg/kg) to inhibit the uptake of 6-OHDA by noradrenergic  
18 neurons (Hamadjida et al., 2019). Thirty min later, rats were anaesthetised with isoflurane (2-  
19 4%; MilliporeSigma, Canada) in 100% oxygen (1 L/min) and positioned onto a stereotaxic frame  
20 (David Kopf Instruments, USA) with the incisor bar set 3.3 mm below ear bars. Rats were  
21 subsequently injected with 2.5  $\mu$ L of 6-OHDA (7  $\mu$ g/ $\mu$ l) in the right medial forebrain bundle at  
22 the following co-ordinates: antero-posterior : – 2.8 mm, medio-lateral: – 2.0 mm, dorso-ventral:  
23 – 9.0 mm) relative to bregma (Paxinos and Watson, 2018) using a 10- $\mu$ l Hamilton Syringe

1 (MilliporeSigma, USA). 6-OHDA was injected at a flow rate of 0.5  $\mu$ l/min and the syringe was  
2 left in place for an additional 5 min after injection before slow withdrawal of the needle to avoid  
3 reflux along the tract. At the end of the surgery, rats received s.c. injection of carprofen (10  
4 mg/kg) and 0.9% NaCl (10 ml), to minimise post-surgical pain and avoid dehydration.

5

## 6 **2.4 Experimental design**

7 Experimental design for the acute challenges and *de novo* studies is described in Fig. 1.

### 8 2.4.1 Acute challenge study

9 Three weeks after lesion, following assessment of parkinsonism by the cylinder test  
10 (described in Section 2.5.1), rats ( $N = 18$ ) exhibiting severe rearing asymmetry were selected to  
11 undergo daily priming with L-DOPA/benserazide (10/15 mg/kg) for 14 days to induce stable and  
12 reproducible axial, limbs and orolingual (ALO) abnormal involuntary movements (AIMs)  
13 (Frouni et al., 2018; Hamadjida et al., 2019). On days of behavioural testing, rats were  
14 administered L-DOPA (6/15 mg/kg, hereafter referred to as L-DOPA) in combination with  
15 ondansetron (0.0001, 0.001, 0.01 0.1 and 1 mg/kg) or vehicle, and the severity of ALO AIMs  
16 was assessed by a blinded rater. Treatments were randomised according to a within-subjects  
17 design and behavioural testing sessions were separated by at least 72 h. The doses of ondansetron  
18 were selected from previous pharmacokinetic (PK) experiments that we performed (Gaudette et  
19 al., 2019; Kwan et al., 2019b).

20

## 1 2.4.2 De novo study

2 In subsequent experiments, rats ( $N = 24$ ) were rendered hemi-parkinsonian by 6-OHDA  
3 injection in the MFB as described above. Following a 21-day recovery period and assessment of  
4 the extent of lesion, rats were administered ondansetron 0.0001 mg/kg and 0.001 mg/kg ( $N = 9$   
5 for each group) or vehicle ( $N = 6$ ), started concurrently with L-DOPA (6/15 mg/kg), once daily  
6 for 22 days. After a 3-day washout period, animals were administered an acute challenge of L-  
7 DOPA 6/15 mg/kg and ALO AIMs severity was assessed. The doses of ondansetron for the *de*  
8 *novo* experiments were the most effective ones identified during the acute challenge trials.

## 10 2.5 Behavioural assessment

### 12 2.5.1 Assessment of parkinsonism with the cylinder test

13 Following a 21-day post-lesion recovery period, for both acute challenge and *de novo*  
14 experiments, the degree of parkinsonism was assessed by the cylinder test (Schallert et al., 2000).  
15 Rats were placed in a transparent cylinder (15 cm diameter  $\times$  28 cm height) and a mirror was  
16 placed behind the cylinder to enable the evaluator to count forelimb movements when the animal  
17 was turned away from the camera. Animals were recorded for 10 min and the extent of forelimb  
18 use asymmetry displayed by the animal was analysed *post hoc*. The first limb to contact the wall  
19 during a rear or weight-shifting movement was scored as an independent wall placement for that  
20 limb. A subsequent placement of the other limb on the wall while maintaining the initial  
21 movement was scored as a “both” movement. A simultaneous placement of both forepaws on the  
22 walls was also considered a “both” movement. Another wall movement score was attributed only  
23 if both paws were removed from the vertical surface. Only animals exhibiting preferential use of



1 the un-lesioned forelimb in  $\geq 70\%$  of the rears were selected to undergo further behavioural  
2 pharmacological testing. This rearing asymmetry score is indicative of  $\geq$  than 88% striatal  
3 dopamine depletion (Schallert et al., 2000).

#### 5 2.5.2 Ratings of ALO AIMs

6 ALO AIMs were assessed by a proficient observer blinded to treatment, according to a  
7 scale previously described (Cenci and Lundblad, 2007; Dekundy et al., 2007; Lundblad et al.,  
8 2002). On days of behavioural scoring, following baseline assessment and treatment  
9 administration, rats were put in individual glass cylinders and ALO AIMs were rated for 2 min  
10 every 20 min over a 180 min testing session. ALO AIMs duration was rated on a scale from 0 to  
11 4 in each monitoring interval, where: 0 = no dyskinesia; 1 = occasional signs of dyskinesia,  
12 present for less than 50% of the observation period; 2 = frequent signs of dyskinesia, present for  
13 more than 50% of the observation period; 3 = continuous dyskinesia but interrupted by external  
14 stimuli and 4 = continuous dyskinesia not interrupted by external stimuli. ALO AIMs amplitude  
15 was rated from 0 to 4. Axial AIMs consist of twisting of the neck and upper body toward the side  
16 contralateral to the lesion and their amplitude is rated according to the following scale: 1=  
17 sustained deviation of the head and neck at  $\sim 30^\circ$  angle; 2 = sustained deviation of the head and  
18 neck at an angle of  $60^\circ$  or more; 3 = sustained twisting of the head, neck and upper trunk at an  
19 angle greater than  $60^\circ$  but up to  $90^\circ$  and 4 = sustained twisting of the head, neck and trunk at an  
20 angle greater than  $90^\circ$ , causing the rat to lose balance from a bipedal position. Limbs AIMs  
21 consist of jerky or dystonic movements of the contralateral limb and their amplitude is rated as  
22 follows: 1 = tiny movements of the paw around a fixed position; 2 = movements leading to  
23 visible displacement of the limb; 3 = large displacement of the limb with contraction of shoulder

1 muscles and 4 = vigorous limb displacement of maximal amplitude, with concomitant  
2 contraction of shoulder and extensor muscles. Orolingual AIMs consist of movement of jaw  
3 muscles and tongue protrusions and their amplitude is rated as: 1 = twitching of facial muscles  
4 accompanied by small masticatory movements without jaw opening; 2 = twitching of facial  
5 muscles accompanied by masticatory movements that occasionally result in jaw opening; 3 =  
6 movements with broad involvement of facial muscles and masticatory muscles, with frequent  
7 jaw opening and occasional tongue protrusion and 4 = involvement of all of the above muscles to  
8 the maximal possible degree. Cumulative ALO AIMs indicates the sum of ALO AIMs duration  
9 or of ALO AIMs amplitude over different consecutive measurement time points.

### 11 2.5.3 Assessment of L-DOPA anti-parkinsonian action

12 To determine whether any anti-dyskinetic effect of ondansetron would compromise the  
13 therapeutic efficacy of L-DOPA, rats used in the acute challenge study underwent a 3-day  
14 washout period, after which forelimb asymmetry was evaluated using cylinder test as described  
15 above. Rats were administered a low L-DOPA dose, 3/15 mg/kg, to avoid triggering AIMs, in  
16 combination with either ondansetron (0.0001, 0.001, 0.01, 0.1, 1 mg/kg) or vehicle, in a  
17 randomised within-subjects design, after which they underwent the cylinder test, 45 min later.

18 Following the acute challenges study, animals were allowed a washout period prior to assessing  
19 L-DOPA anti-parkinsonian action with the cylinder test, to ensure that both drugs (L-DOPA and  
20 ondansetron) were completely eliminated from the body. L-DOPA and ondansetron have  
21 relatively short plasma half-lives,  $\approx$  59 min and  $\approx$  32 to 43 min, respectively, (Huot *et al.*, 2012;  
22 Kwan *et al.*, 2019a), and the 3-day washout period respects the recommended washout period of

1 a minimum of five times the half-life of the treatment with the longest half-life in the study  
2 (Evans, 2010).

### 3 **2.6 Determination of striatal monoamine and metabolite levels**

4 After the completion of behavioural experiments, rats were euthanised by isoflurane  
5 anaesthesia (2–4%; MilliporeSigma, Canada), followed by trans-cardial perfusion with 0.9%  
6 NaCl. Brains were rapidly removed, left and right striata were dissected on ice, flash frozen in 2-  
7 methyl-butane (-56°C) and stored at -80°C until further analysis. High-performance liquid  
8 chromatography coupled with electro-chemical detection (HPLC-ED) was performed to  
9 determine striatal content of dopamine, 5-HT and their metabolites 3,4-dihydroxyphenylacetic  
10 acid (DOPAC), homovanillic acid (HVA) and 5-hydroxyindoleacetic acid (5-HIAA), as  
11 previously described (Frouni et al., 2019; Frouni et al., 2018).

### 13 **2.7 Statistical analysis**

14 Data from the cylinder test to assess hemi-parkinsonism and the effects of ondansetron on  
15 L-DOPA anti-parkinsonian action are graphed as the mean  $\pm$  standard error (S.E.M.) and were  
16 analysed using one-way repeated measures (RM) analysis of variance (ANOVA) followed by  
17 Tukey's *post hoc* tests. Cumulative AIMs scores in the acute challenge study are presented as the  
18 median with semi-interquartile range and were analysed using Friedman test followed by Dunn's  
19 *post hoc* test, while in the *de novo* study, cumulative AIMs scores are presented as the median  
20 with the semi-interquartile range and were analysed by Kruskal-Wallis followed by Dunn's *post*  
21 *hoc* tests. Tissue concentrations of monoamines and metabolites are presented as mean  $\pm$  S.E.M.  
22 and were analysed by unpaired Welch's unequal variances *t* test. Statistical significance was set

1 to  $P < 0.05$ . Statistical analyses were performed with GraphPad Prism 8.0d (GraphPad Software  
2 Inc, USA).

3

4

### 5 **3. Results**

6

#### 7 **3.1 Extent of dopaminergic lesion**

8 As shown in Fig. 2A, animals that underwent the acute challenges of ondansetron  
9 displayed forelimb asymmetry ( $F_{(2, 39)} = 296.6$ ,  $P < 0.001$ , one-way RM ANOVA), with marked  
10 preferential use of the right forepaw in 83% of wall contacts when compared to 0.4% and 15%  
11 with the left forepaw and both forepaws, respectively (both  $P < 0.001$ , Tukey's *post hoc* test).  
12 These findings are consistent with the HPLC-ED analysis shown in Fig. 2B, where levels of  
13 dopamine and its metabolites DOPAC and HVA were significantly reduced in the lesioned  
14 striata compared to the un-lesioned striata [ $t_{(14,04)} = 8.038$ ,  $P < 0.001$  for dopamine],  
15 [ $t_{(14,45)} = 8.011$ ,  $P < 0.001$  for DOPAC] and [ $t_{(15,20)} = 8.587$ ,  $P < 0.001$  for HVA]. No difference  
16 was observed in the levels of 5-HT or 5-HIAA between striata of either hemisphere,  
17 [ $t_{(27,84)} = 2.041$ ,  $P > 0.05$  for 5-HT] and [ $t_{(28,00)} = 0.9787$ ,  $P > 0.05$  for 5-HIAA]. Striatal  
18 concentrations of dopamine, DOPAC and HVA diminished by 98%, 72% and 86%, respectively,  
19 (each  $P < 0.001$ ) in the lesioned striata, compared to the un-lesioned striata.

20

#### 21 **3.2 Ondansetron diminishes the severity of established L-DOPA-induced ALO AIMs**

22 As shown in Fig. 3A, administration of ondansetron in combination with L-DOPA  
23 reduced ALO AIMs duration (Friedman Statistic [FS] = 23.93,  $P < 0.001$ ). Thus, administration

1 of ondansetron 0.0001 and 0.001 mg/kg decreased ALO AIMs duration by 31% and 29%,  
2 respectively, when compared to vehicle ( $P < 0.001$  and  $P < 0.01$ , Dunn's *post hoc* test). ALO  
3 AIMs duration was also diminished with ondansetron 0.01 and 0.1 mg/kg compared to vehicle,  
4 but this was not statistically significant.

5 As shown in Fig. 3B, adding ondansetron to L-DOPA resulted in a significant reduction  
6 of ALO AIMs amplitude (FS = 30.07,  $P < 0.001$ ). Thus, ondansetron 0.0001 and 0.001 mg/kg  
7 decreased ALO AIMs amplitude by 21% and 27%, respectively, when compared to vehicle ( $P <$   
8 0.001, Dunn's *post hoc* test).

### 10 3.3 Ondansetron does not impair the therapeutic efficacy of L-DOPA

11 Following acute challenges of ondansetron and a washout period, 6-OHDA-lesioned rats  
12 underwent a 3/15 mg/kg L-DOPA challenge to determine whether ondansetron treatment impairs  
13 L-DOPA anti-parkinsonian action, as measured by the cylinder test.

14 As presented in Fig. 4, administration of L-DOPA alone or in combination with  
15 ondansetron led to a decrease in the use of the right (un-lesioned) forepaw ( $F_{(2.826, 39.56)} = 6.929$ ,  $P$   
16  $< 0.001$ , one-way RM ANOVA). When 6-OHDA-lesioned rats were administered L-DOPA,  
17 there was a significant decrease in the number of rears using the un-lesioned side (38%,  $P < 0.05$ ,  
18 Tukey's *post hoc* test). This reduction in rears with the un-lesioned forepaw remained present  
19 when ondansetron 0.0001, 0.001, 0.01, 0.1 or 1 mg/kg was combined with L-DOPA, *i.e.*  
20 reductions of 37%, 48%, 44%, 58% and 47% were achieved, respectively (all  $P < 0.01$  or  $P <$   
21 0.001, Tukey's *post hoc* test). There was no difference between the number of rears using the un-  
22 lesioned side between L-DOPA/vehicle and L-DOPA/ondansetron, regardless of the dose of  
23 ondansetron.

1

### 2 **3.4 *De novo* treatment with ondansetron attenuates the development of ALO AIMs**

3 After completion of the acute challenge experiments and having ensured that ondansetron  
4 does not interfere with L-DOPA anti-parkinsonian action, we sought to explore if ondansetron  
5 could attenuate the development of dyskinesia.

6 As shown in Fig. 5A, ALO AIMs duration was significantly milder in animals in which  
7 ondansetron was commenced concurrently with L-DOPA, compared to animals not exposed to  
8 ondansetron during the development phase (Kruskal-Wallis statistic  $[H] = 7.583$ ,  $P < 0.05$ ).  
9 However, despite statistical significance at the main test, no significant difference between  
10 treatment groups could be identified at the post test level ( $P > 0.05$ , Dunn's *post hoc* test),  
11 although rats administered ondansetron 0.0001 mg/kg during the priming phase exhibited ALO  
12 AIMs 43% less severe than animals treated with vehicle.

13 As depicted in Fig. 5B, the addition of ondansetron significantly attenuated the  
14 development of ALO AIMs amplitude ( $[H] = 8.064$ ,  $P < 0.05$ ). In animals primed with L-  
15 DOPA/ondansetron 0.0001 mg/kg, ALO AIMs amplitude was significantly lower by 64%, when  
16 compared to the L-DOPA/vehicle treatment ( $P < 0.05$ , Dunn's *post hoc* test).

17

18

## 19 **4. Discussion**

20 In the present study, we have demonstrated that selective blockade of the 5-HT<sub>3</sub> receptor  
21 with ondansetron reduces the severity of established L-DOPA-induced dyskinesia and attenuates  
22 the development of dyskinesia, in the 6-OHDA-lesioned rat, without interfering with the anti-  
23 parkinsonian action of L-DOPA.

1        Our experiments were performed solely on female rats, because their weight remains  
2 stable over time. Whereas, it remains uncertain if an anti-dyskinetic benefit would have been  
3 achieved in male rats, we believe it likely have resulted in a similar benefit in male animals. For  
4 instance, recent clinical trials performed with amantadine did not encounter different responses  
5 to glutamatergic dampening in male and female patients (Hauser *et al.*, 2017; Oertel *et al.*, 2017;  
6 Pahwa *et al.*, 2017)”. In addition, in clinical settings, there are no differences between plasma  
7 levels achieved in male and female individuals following any given dose of L-DOPA (Dizdar *et*  
8 *al.*, 1999; Hauser *et al.*, 2011).

9        Our results are consistent with recent data obtained in the 6-OHDA-lesioned rat where  
10 concurrent administration of ondansetron with the initial dose of L-DOPA attenuated the  
11 development of dyskinesia (Aboulghasemi *et al.*, 2018). In that recent study, after  
12 discontinuation of ondansetron treatment (0.04 and 0.08 mg/kg), animals exhibited a  $\approx 27\%$  and  
13  $\approx 54\%$  reduction of dyskinesia compared to vehicle, which appears to be within the same  
14 magnitude as our findings ( $\approx 44\%$ ), despite that important methodological differences exist  
15 between the studies. Thus, in the Aboulghasemi *et al.* study, animals underwent the apomorphine  
16 rotation test to assess parkinsonism and therefore entered the *de novo* study without being  
17 completely drug naïve, which complicates the interpretation of the results, as apomorphine  
18 treatment may cause persistent behavioural effects (Silverman and Ho, 1981). Moreover, high  
19 doses of L-DOPA (50 mg/kg) were administered, without a peripheral L-amino acid  
20 decarboxylase inhibitor such as benserazide, which could perhaps explain why they obtained an  
21 anti-dyskinetic effect with relatively high doses of ondansetron (0.04 and 0.08 mg/kg), while we  
22 found the limit of efficacy with ondansetron 0.001 mg/kg when doses of L-DOPA/benserazide  
23 (6/15 mg/kg) commonly employed in the literature (Chambers *et al.*, 2019; Lerner *et al.*, 2017)

1 were administered. Nevertheless, the favourable data from both studies provide further support  
2 that 5-HT<sub>3</sub> receptor blockade might alleviate L-DOPA-induced dyskinesia.

3 In our study, ondansetron 0.0001 and 0.001 mg/kg consistently elicited the biggest AIMs  
4 reduction, while vehicle treatment led to the most severe AIMs, with the anti-dyskinetic effect  
5 disappearing when higher doses of ondansetron were administered. Such a bell shaped dose-  
6 response curve has often been ascribed to 5-HT<sub>3</sub> receptor antagonists (Goudie and Leathley,  
7 1990; Ramamoorthy et al., 2008). The most favoured mechanism underlying this dose-response  
8 curve proposes that, at high concentrations of 5-HT<sub>3</sub> antagonists, there may be mutual steric  
9 hindrance at the receptor or, more speculatively, additional effects due to low-affinity binding to  
10 other receptors (Bonhaus et al., 1995; Eisensamer et al., 2003). Although ondansetron binds with  
11 low affinity to 5-HT<sub>1A</sub>, 5-HT<sub>1B</sub>,  $\alpha$ -adrenergic and opioid receptors, its binding affinity at 5-HT<sub>3</sub>  
12 receptor sites is about 250- to 1000-fold higher than that at other receptors (van Wijngaarden et  
13 al., 1990). In addition, brain levels of ondansetron detected in a PK study suggest that the anti-  
14 dyskinetic action of ondansetron is possibly mediated exclusively by blockade of the 5-HT<sub>3</sub>  
15 receptor (Gaudette et al., 2019; Kwan et al., 2019a).

16 Here, the anti-dyskinetic efficacy obtained with ondansetron may therefore probably be  
17 attributed to its action as a 5-HT<sub>3</sub> receptor antagonist, especially considering its low affinity for  
18 other receptor subtypes. However, an important issue that remains to be addressed is whether the  
19 anti-dyskinetic effect and the bell-shaped dose-response curve represent a class effect, whereby  
20 drugs with a shared mechanism of action (*e.g.*, other 5-HT<sub>3</sub> receptor antagonists) would also  
21 alleviate L-DOPA-induced dyskinesia, but seemingly only at low dose, or if the effect would be  
22 limited to ondansetron. In addition to ondansetron, several highly-selective 5-HT<sub>3</sub> receptor  
23 antagonists such as granisetron, dolasetron and palonosetron are used in the clinic as anti-emetics



1 (Smith et al., 2012). Indeed, besides their shared mechanism of action, these compounds have  
2 different PK profiles and binding affinities (Hoyer, 1990), which may result in differences in  
3 their anti-dyskinetic potential. Further studies to investigate the possibility of a class effect by 5-  
4 HT<sub>3</sub> receptor antagonists in L-DOPA-induced dyskinesia are warranted.

5 L-DOPA-induced dyskinesia is thought to be mediated, in part, by the aberrant release of  
6 L-DOPA-derived dopamine from 5-HT neurons (Carta et al., 2007; Tanaka et al., 1999). Studies  
7 have demonstrated that 5-HT<sub>3</sub> receptors modulate the release of dopamine within the striatum,  
8 and dampening this release may be responsible for the anti-dyskinetic effect observed here. In rat  
9 striatal slices, administration of 5-HT<sub>3</sub> agonists increased endogenous levels of dopamine (Zazpe  
10 et al., 1994), while application of 5-HT<sub>3</sub> antagonists blocked dopamine release (Blandina et al.,  
11 1989). Pre-treatment with the 5-HT<sub>3</sub> antagonists ondansetron and MDL-72,222 also reduced the  
12 striatal increase of dopamine induced by dopaminergic drugs (Porras et al., 2003). An  
13 electrophysiological study suggested that the dampening of dopamine release in the striatum  
14 obtained with blockade of 5-HT<sub>3</sub> receptors might be attributed to the decrease of active  
15 dopaminergic neurons within the substantia nigra (Sorensen et al., 1989). Furthermore, in  
16 rodents, modulation of the 5-HT<sub>3</sub> receptor attenuated nigro-striatal dopamine transmission-  
17 mediated motor responses such as oro-facial dyskinesia (Naidu and Kulkarni, 2001), stereotypies  
18 (Shankar et al., 2000) and rotations (Bachy et al., 1993). In the mouse, unilateral injection of 5-  
19 HT<sub>3</sub> agonists in the striatum elicited contralateral rotations, which were suppressed by  
20 ondansetron (Bachy et al., 1993). Collectively, the studies cited above imply the involvement of  
21 the 5-HT<sub>3</sub> receptor in dopamine release in the striatum and it can thus be inferred that the anti-  
22 dyskinetic effect observed with ondansetron administration may, at least in part, be due to its  
23 inhibition on this excessive release of dopamine. However, this theory remains speculative

1 considering the lack of studies investigating the effect of ondansetron on striatal dopamine  
2 release in the 6-OHDA rat. Additional studies are required to corroborate whether this  
3 mechanism could be contributing to the anti-dyskinetic effect achieved with ondansetron, or  
4 whether, its action is mediated through different neurotransmitters or brain regions.

5       To the best of our knowledge, only one study has assessed the effects of ondansetron on  
6 physiological motor function in non-lesioned drug naïve rodents. Ondansetron treatment had no  
7 significant effects on locomotor activity in the rat, which is indicative of a lack of excitatory or  
8 suppressant effect (van der Hoek and Cooper, 1994). Experimental paradigms have studied drug-  
9 induced behaviours such as amphetamine-induced hyperactivity and cocaine-induced locomotion  
10 but as both drugs have the capacity to alter brain plasticity (Nyberg, 2014), extrapolating these  
11 findings to potential effects of ondansetron under drug naïve conditions is difficult. In the rat,  
12 following intra-nucleus accumbens (NAc) injection of amphetamine, central or peripheral  
13 administration of ondansetron did not significantly alter spontaneous locomotor activity (Costall  
14 et al., 1987). In contrast, ondansetron injection into the NAc attenuated hyperactivity induced by  
15 intra-NAc injection of amphetamine but failed to exert an effect when amphetamine was  
16 administered peripherally (Costall et al., 1987). Consistent with this finding, hyperlocomotion  
17 induced by intra-NAc infusion of dopamine was blocked by systemic administration of  
18 ondansetron in rats and marmosets (Costall et al., 1987), an effect that was also reported with  
19 other 5-HT<sub>3</sub> antagonists (Costall et al., 1990). Similarly, intra-NAc and intra-ventral tegmental  
20 area administration of ondansetron attenuated locomotor activity induced by peripheral  
21 administration of dexamphetamine (Gillies et al., 1996). Ondansetron also inhibited locomotor  
22 and head bobbing responses elicited by cocaine (Herges and Taylor, 2000). Collectively, these  
23 studies suggest that the mechanism underlying the ability of ondansetron to attenuate dopamine-

1 mediated hyperactivity is through reducing release of dopamine in the NAc (Herges and Taylor,  
2 2000). To this end, an *in vivo* microdialysis study in the rat found that ondansetron significantly  
3 blocked the increase of dopamine in the accumbens elicited by stimulation of the dorsal raphe  
4 nucleus (De Deurwaerdere et al., 1998). Moreover, ondansetron treatment significantly reduced  
5 immobility time in the forced swim test and tail suspension test in mice (Kordjazy et al., 2016),  
6 which are validated behavioural tests for screening the anti-depressant effect of drugs (Cryan et  
7 al., 2002). The action of ondansetron was not due to changes in locomotion measured by the  
8 open field test (Kordjazy et al., 2016), which is consistent with the view that 5-HT<sub>3</sub> receptor  
9 plays a modulatory role only when the mesolimbic dopamine system is disturbed (Di Matteo et  
10 al., 2008).

11         Parkinsonism severity improved upon administration of an acute dose of L-DOPA and,  
12 importantly, this therapeutic benefit was maintained following administration of all doses of  
13 ondansetron in our experiments, which indicates that ondansetron does not impair the anti-  
14 parkinsonian action of L-DOPA. These findings are in agreement with a previous rat study  
15 (Aboulghasemi et al., 2018) and open-label trials in advanced PD patients in which ondansetron  
16 alleviated psychotic symptoms without compromising the therapeutic benefit conferred by L-  
17 DOPA (Friedberg et al., 1998; Zoldan et al., 1995).

18         In summary, we reported on the therapeutic potential of 5-HT<sub>3</sub> receptor blockade to  
19 diminish L-DOPA-induced dyskinesia. Ondansetron reduced dyskinesia without impairing the  
20 anti-parkinsonian action of L-DOPA, suggesting that it would be well tolerated in the clinic by  
21 PD patients with dyskinesia. Further studies are required to confirm these results and to uncover  
22 the mechanisms underlying the anti-dyskinetic efficacy of ondansetron.

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**Figure legends****Fig. 1. Schematic representation of the experimental design.**

(A) Timeline of the acute challenge experiments. 6-OHDA lesioned animals underwent a L-DOPA priming phase to induce dyskinesia and the effect of acute ondansetron on the severity of AIMs was evaluated.

(B) Timeline of the *de novo* experiments. Animals were concomitantly administered ondansetron with L-DOPA daily over the course of 21 days with weekly assessments of the progression of AIMs, followed by an acute L-DOPA challenge after a washout period.

**Fig. 2. Extent of striatal dopaminergic denervation.**

(A) Animals selected to undergo acute challenges of ondansetron exhibited a marked preference for the un-lesioned (right) forepaw in 83% of rears compared to 0.4% and 17% of rears using the lesioned (left) forepaw and both forepaws, respectively. Data are presented as the mean  $\pm$  S.E.M. \*\*\*:  $P < 0.001$ .

(B) HPLC-ED analysis revealed that striatal levels of dopamine and metabolites 3,4-dihydroxyphenylacetic acid and homovanillic acid were significantly reduced in the right striatum when compared to the left striatum (by 98%, 72% and 86% respectively). Striatal levels of serotonin and 5-hydroxyindoleacetic acid were similar in both striata. Data are presented as the mean  $\pm$  S.E.M. \*\*\*:  $P < 0.001$ .

**Fig. 3. Effect of acute challenges of ondansetron on established L-DOPA induced AIMs**

(A) Administration of ondansetron 0.0001 and 0.001 mg/kg in combination with L-DOPA attenuated axial limbs orolingual AIMs duration, by 31% and 29%, respectively, when compared to vehicle.

(B) Treatment with ondansetron 0.0001 and 0.001 mg/kg resulted in a marked reduction in the amplitude of axial limbs orolingual AIMs, by 21% and 27%, when compared to vehicle.

Data are expressed as median with semi-interquartile interval. \*\*:  $P < 0.01$ , \*\*\*:  $P < 0.001$ .

**Fig. 4. Effect of ondansetron on L-DOPA anti-parkinsonian action.**

Drug-naïve 6-OHDA-lesioned rats used the right (un-lesioned) forepaw in 83% of rears. When 6-OHDA-lesioned rats were administered L-DOPA (3/15 mg/kg), there was a significant decrease in the number of rears using the un-lesioned side, by 39%. This decrease in rears with the un-lesioned forepaw remained present when ondansetron 0.0001, 0.001, 0.01, 0.1 or 1 mg/kg was combined with L-DOPA by 37%, 47%, 44%, 58% and 49%, respectively. Data are graphed as mean  $\pm$  S.E.M. \*:  $P < 0.05$ , \*\*:  $P < 0.01$ , \*\*\*:  $P < 0.001$ .

**Fig. 5. Effect of ondansetron on axial limbs orolingual AIMs during an acute 6/15 mg/kg L-DOPA challenge following chronic administration of ondansetron during the AIMs induction phase.**

(A) Rats that received L-DOPA/ondansetron 0.0001 mg/kg during the induction phase did not exhibit significantly milder axial limbs orolingual duration, when compared to animals treated chronically with L-DOPA/vehicle.

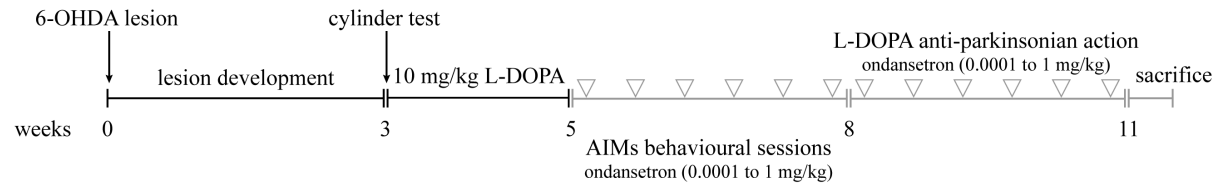


(B) Administration of L-DOPA/ondansetron 0.0001 mg/kg during the induction phase significantly attenuated the development of axial limbs orolingual AIMs amplitude compared to animals treated with L-DOPA/vehicle (by 64%,  $P < 0.05$ ).

Data are graphed as median with semi-interquartile range. \*:  $P < 0.05$

Fig. 1

## A. acute challenges



## B. de novo

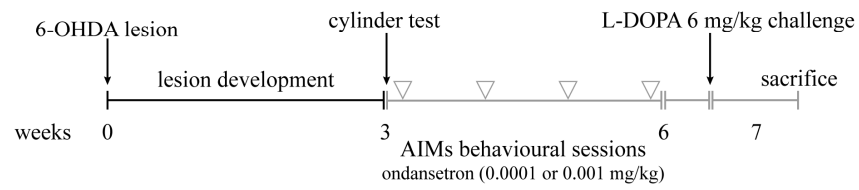


Fig. 2

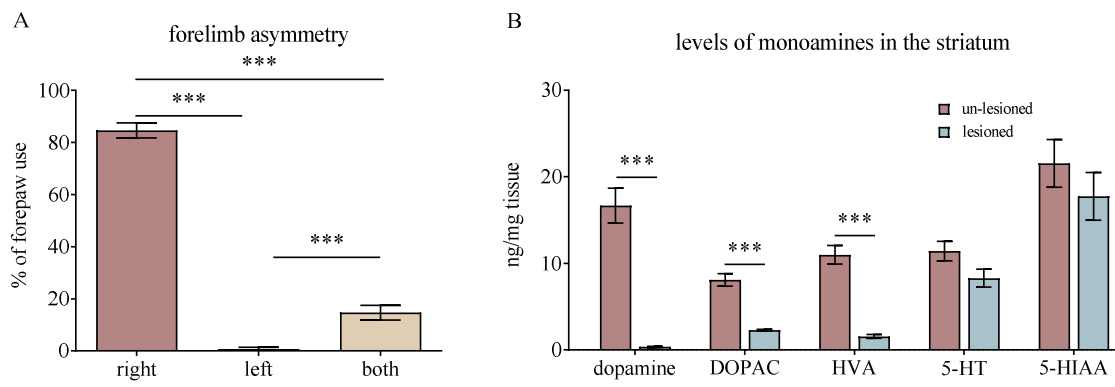


Fig. 3

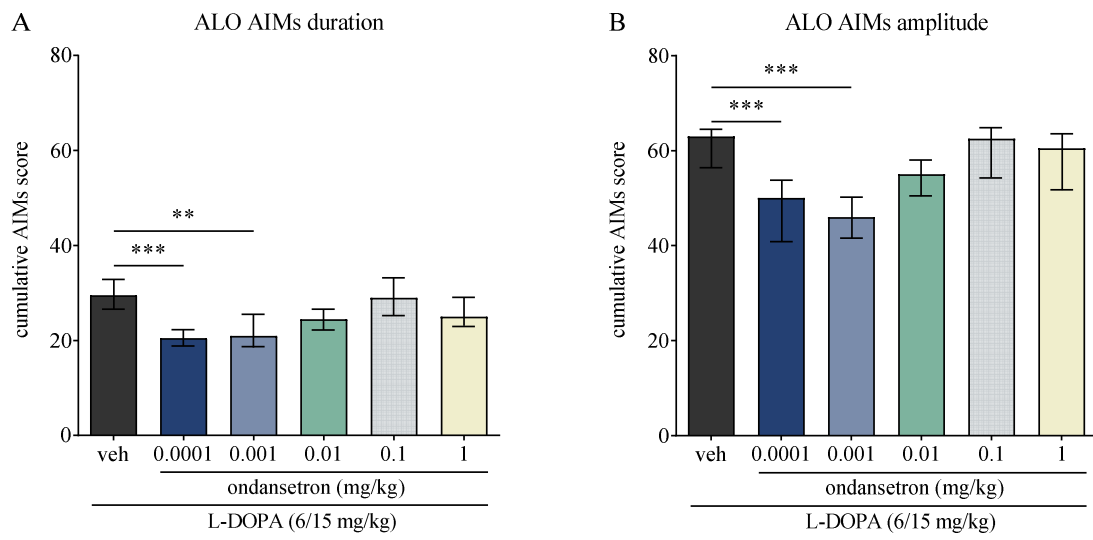


Fig. 4

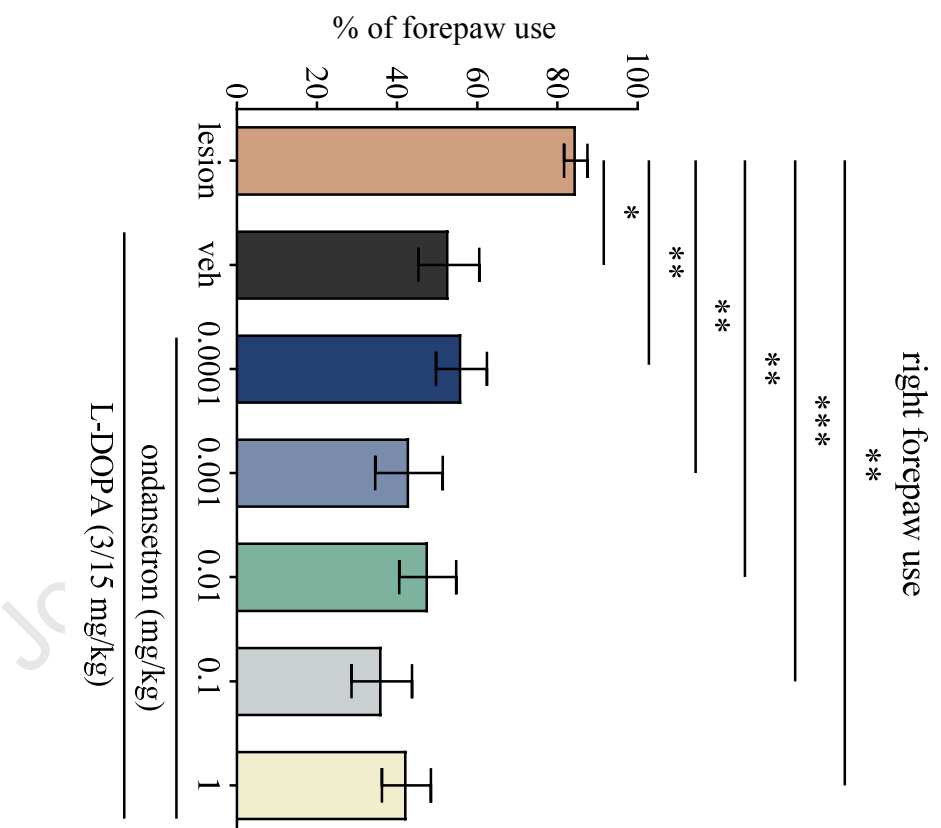


Fig. 5

