



# Stratification of long-term outcome in stable idiopathic pulmonary fibrosis by combining longitudinal computed tomography and forced vital capacity

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## Abstract

**Objectives** To test HRCT with either visual or quantitative analysis in both short-term and long-term follow-up of stable IPF against long-term (transplant-free) survival, beyond 2 years of disease stability.

**Methods** Fifty-eight IPF patients had FVC measurements and HRCTs at baseline (HRCT0), 10–14 months (HRCT1) and 22–26 months (HRCT2). Visual scoring, CALIPER quantitative analysis of HRCT measures, and their deltas were evaluated against combined all-cause mortality and lung transplantation by adjusted Cox proportional hazard models at each time interval.

**Results** At HRCT1, a  $\geq 20\%$  relative increase in CALIPER-total lung fibrosis yielded the highest radiological association with outcome (C-statistic 0.62). Moreover, the model combining FVC% drop  $\geq 10\%$  and  $\geq 20\%$  relative increase of CALIPER-total lung fibrosis improved the stratification of outcome (C-statistic 0.69, high-risk category HR 12.1; landmark analysis at HRCT1 C-statistic 0.66, HR 14.9 and at HRCT2 C-statistic 0.61, HR 21.8). Likewise, at HRCT2, the model combining FVC% decrease trend and  $\geq 20\%$  relative increase of CALIPER-pulmonary vessel-related volume (VRS) improved the stratification of outcome (C-statistic 0.65, HR 11.0; landmark analysis at HRCT1 C-statistic 0.62, HR 13.8 and at HRCT2 C-statistic 0.58, HR 12.6). A less robust stratification of outcome distinction was also demonstrated with the categorical visual scoring of disease change.

**Conclusions** Annual combined CALIPER -FVC changes showed the greatest stratification of long-term outcome in stable IPF patients, beyond 2 years.

## Key Points

- Longitudinal high-resolution computed tomography (HRCT) data is more helpful than baseline HRCT alone for stratification of long-term outcome in IPF.
- HRCT changes by visual or quantitative analysis can be added with benefit to the current spirometric reference standard to improve stratification of long-term outcome in IPF.
- HRCT follow-up at 12–14 months is more helpful than HRCT follow-up at 23–26 months in clinically stable subjects with IPF.

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**Keywords** Idiopathic pulmonary fibrosis · Multidetector computed tomography · Usual interstitial pneumonia · Outcome · Machine learning

### Abbreviations

CALIPER	Computer-Aided Lung Informatics for Pathology Evaluation and Rating
CI	Confidence interval
CT	Computed tomography
DLco	Diffusing capacity for carbon monoxide
FEV1	Forced expiratory volume in one second
FVC	Forced vital capacity
GAP	Gender-age-physiology
HR	Hazard ratio
HRCT	High-resolution computed tomography
ILD	Interstitial lung disease
IPF	Idiopathic pulmonary fibrosis
PFT	Pulmonary function test
VRS	Pulmonary vessel-related volume

### Introduction

Idiopathic pulmonary fibrosis (IPF) is a primary interstitial lung disease with exceptionally poor prognosis due to rapidly progressive evolution towards pulmonary failure and comorbidities [1]. However, marked variations in disease behavior can occur in IPF. Median survival is generally quoted at 3 years [2, 3], but some patients may survive up to 5–10 years [4]. Disease stage at the time of diagnosis and longitudinal behavior both account for the prognostic variability, and these features drive clinical treatment strategy [5–7].

Several demographic-functional characteristics of subjects with IPF are associated with increased mortality, particularly older age. Such characteristics have been incorporated into multidimensional indexes for personalized prognostication [8–15]. However, the repeatability and sensitivity limitations of pulmonary function tests (PFT) illuminate the need for more detailed measures of disease severity such as high-resolution computed tomography (HRCT), which allows to capture the heterogeneity of disease extent and character throughout different lung regions [16].

Several studies have shown that disease extent at baseline HRCT either by computer-based quantification or visual scoring [6, 17–19] may increase the accuracy of multivariate prediction models. The utility of HRCT metrics on longitudinal scans at multiple time points is also proven [20–24]. However, there is scarce evidence upon the additional value of serial HRCT over forced vital capacity (FVC), which still represents the reference endpoint in both clinical practice and scientific setting. In particular, there is neither consensus recommendation for the most robust HRCT scoring technique nor validation of standardized interval for HRCT scan in patients

without acute exacerbation [17, 18, 20, 25]. Detailed investigation of these issues is foremost because spirometric follow-up by FVC may be biased by several factors [26] and might be not sufficiently sensitive in capturing subtle changes in relatively stable or insidious conditions [16, 27].

The purpose of this study was to test HRCT with either visual or quantitative analysis in both short-term and long-term follow-up of IPF against long-term (transplant-free) survival, beyond 2 years of disease stability.

### Methods

Patients with diagnosis of IPF between December 2011 and October 2014 according to published guidelines were retrospectively retrieved from the electronic registers of two Italian referral centers (Ospedale Morgagni di Forlì and Policlinico di Catania) [1]. Institutional review board approval was obtained from both centers.

Selection criteria were as follows: (a) three serial volumetric HRCTs at baseline, 10–14 month and 22–26 months (hereafter HRCT0, HRCT1, and HRCT2, respectively); (b) three serial full PFTs within 30 days of the selected HRCT time point; (c) systematic collection of full clinical history; (d) absence of acute complications (e.g., acute exacerbation, pulmonary edema, embolism, or infection) or lung cancer within HRCT0–HRCT2 (Fig. 1).

Data collection was closed in March 2017; data censoring became as a consequence of the study time frame.

### Pulmonary function testing

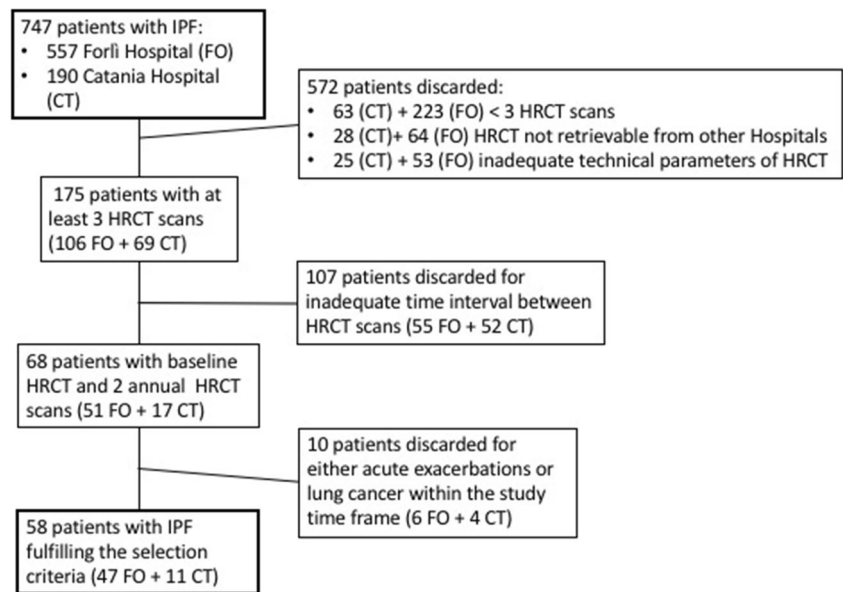
Pulmonary function testing was done locally at study sites ([supplementary material](#)). For the study objectives, the Gender-Age-Physiology (GAP) stage at baseline [14] was recorded and serial %-predicted FVC and %-predicted DLCO were calculated at each time point.

### Visual scoring of HRCT scans

Each HRCT scan was evaluated independently by two radiologists with 14 and 5 years of experience in thoracic imaging. The HRCT time points were read in a random order. The radiologists were blinded to clinical information.

HRCTs were scored on a lobar basis using a continuous scale [6, 28]. The total extent of interstitial lung disease (ILD) was estimated to the nearest 5% and then was subclassified into relative lobar extent of each from the following four

**Fig. 1** STROBE diagram illustrating the selection of idiopathic pulmonary fibrosis (IPF) patients for the study. HRCT, high-resolution computed tomography; FO, Forli cases; CT, Catania cases



parenchymal patterns: reticular, ground glass opacification, honeycombing, and consolidation ([supplementary material](#)).

Traction bronchiectasis [29] was assigned with a categorical “severity” score accounting for the average degree of airway dilatation within the areas of fibrosis as well as the extent of dilatation throughout the lobe. An ordinal Gestalt score was given as follows: none (0), mild (1), moderate (2), and severe (3) [6, 30].

Longitudinal differences in overall extent were calculated from the baseline HRCT (e.g., HRCT1-HRCT0 and HRCT2-HRCT0) and represented the absolute change. The relative change was calculated as the ratio between the absolute change divided by the baseline extent.

Following the above-described visual scoring in a random fashion, serial HRCTs were also assessed in side-by-side comparison to grant fine description of overall and relative extent of each parenchymal finding. This side-by-side comparison was summarized into nominal categories of disease behavior, as follows: (a) progression (e.g., increase of ILD extent in at least one lobe); (b) stability; (c) improvement (e.g., decrease in ILD extent in at least one lobe, with no disease progression in the remainders). Consensus formulation for visual scores is outlined in the [supplementary material](#).

### CALIPER evaluation

HRCTs were evaluated by CALIPER as previously described [28]. Patterns scored volumetrically by CALIPER included ground glass opacities, reticular pattern, honeycombing, emphysema, normal lung, and vessel-related structures (VRS) (Fig. 2). The total extent of lung fibrosis was represented by the sum of ground glass opacities, reticular pattern, and honeycombing.

Longitudinal differences were calculated as for the visual scores. Further details of HRCT technique and quantitative analysis are given in the [supplementary material](#).

### Statistical analysis

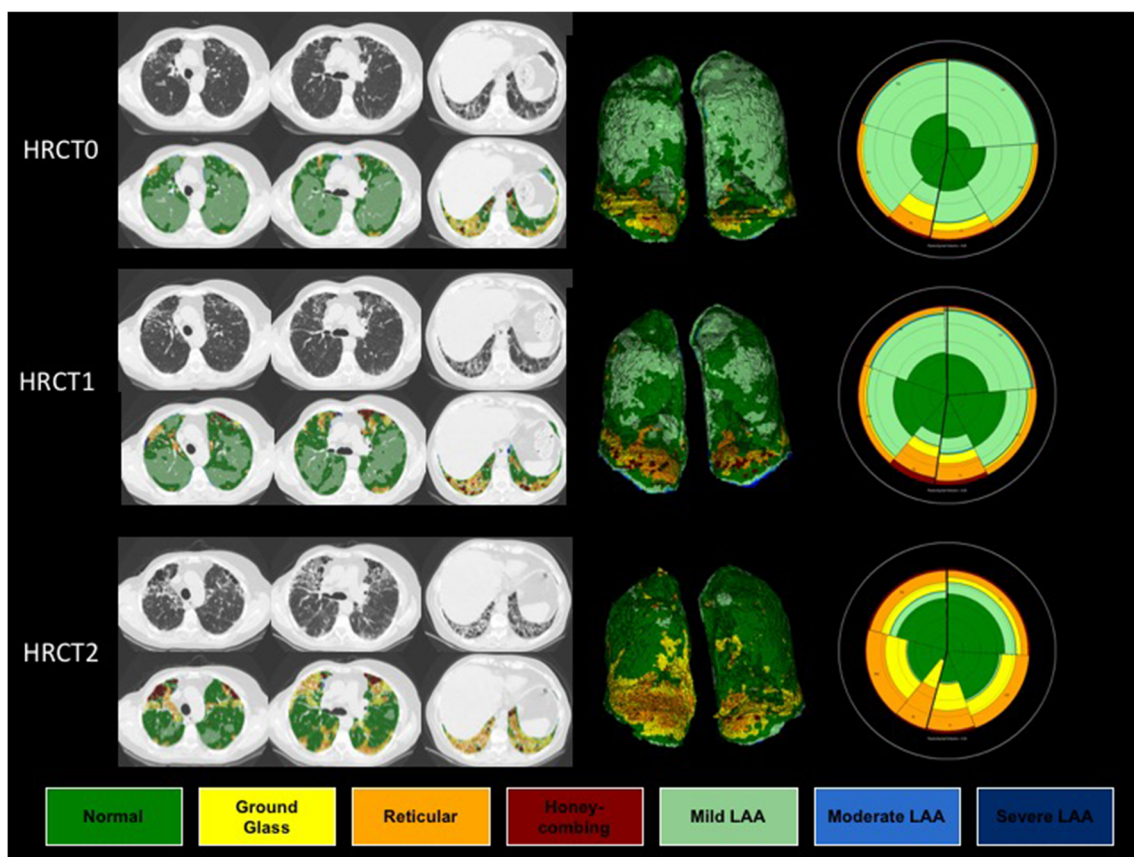
Interobserver agreement was evaluated with either the intraclass correlation coefficient or the kappa statistics, as appropriate [31].

Details on the change in both FVC and CALIPER quantification are given in the [supplementary material](#). Relationship between visual score, CALIPER score, and FVC% of predicted or DLco at each HRCT time point was tested by Pearson correlation coefficients.

The study outcome was defined by any of all-cause death or lung transplantation beyond 2 years since HRCT0. The outcome was tested against all variables, independently at each time point, as reported thereafter.

**HRCT0** Cox proportional hazard models (both univariate and multivariate) assessed associations between baseline variables (e.g., visual, CALIPER scores, age, gender, FVC %-predicted, DLCO %-predicted, GAP score) and the study outcome. In multivariate models, stepwise proportional hazards analyses were performed, if not otherwise specified.

**HRCT1 and HRCT2** Cox proportional hazard models at both HRCT1 and HRCT2 were adjusted for both GAP score and pack-years of smoking. We tested the proportional hazards assumption by including time-dependent effects in the model (i.e., a covariate for interaction of the predictor and the logarithm of survival time), and no violation was found. The index of concordance (C-statistic) was used to compare model discrimination between various models.



**Fig. 2** Axial HRCTs at the study time points of a 67-year-old male former smoker. CALIPER-HRCT images demonstrate increase in reticulation, progression of volume loss in the lung bases, and increase in the extent

of disease in the mid to upper lungs across HRCT1-HRCT2. Honeycombing slightly progressed as shown in a tiny rim on the glyphs

Event-free survival (EFS) was analyzed using the Kaplan-Meier product-limit survival curve estimates [32]. EFS was defined as the time from HRCT0 until outcome and by two further analyses with landmark at HRCT1 or HRCT2. In the figures, follow-up was cut at 5 years. Kaplan-Meier plots showed EFS for models of FVC decline with or without combined visual or CALIPER changes below and above predefined thresholds considered significant for disease progression at both HRCT1 and HRCT2. Thresholds were selected using the receiver operating characteristic (ROC) curve analysis [33].

Statistical analyses were performed using SAS 9.4 (SAS Institute) and the figures were obtained using STATA 15.0 (StataCorp LP) statistical software.

## Results

### Baseline data

The study population consisted of 58 subjects (Fig. 1). Diagnosis was corroborated by either surgical biopsy or cryobiopsy in 30/58 (51.7%) subjects. A total of 38/58

(65.5%) subjects were treated with either pirfenidone or nintedanib, at some point. The mean age at presentation was 66.4 years  $\pm$  8.2, with 69% ( $n = 40$ ) male and 67.2% ( $n = 39$ ) former or current smokers (Table 1).

The median follow-up of the study was 44 months (quartile range, 36–57). Median HRCT1-HRCT0 time interval was 12 months (quartile range, 12–14), whereas median HRCT2-HRCT0 was 25 months (quartile range, 23–26). After 2 years since HRCT0, 2 (3.4%) patients underwent lung transplant and 15 (25.9%) died.

Interobserver variation for the visual scores and HRCT-functional correlations are given in the supplementary material (Supplementary Tables 1–2).

### Baseline indexes

Most of visual and CALIPER scores at HRCT0 were associated with the study outcome (Table 2 and Supplementary Table 3). The model with GAP score (HR 1.47, 95%CI 1.04–2.08) and pack-years of smoking (HR 1.01, 95%CI 0.97–1.04) showed the best discrimination at baseline (C-statistic 0.712). The inclusion of either HRCT0 visual score (C-statistic 0.596) or HRCT0 CALIPER metrics (C-statistic

**Table 1** Demographics, PFT, visual score CALIPER metrics, and selected follow-up information of the 58 IPF patients

	Mean	SD	Median	Quartile range*
<b>Demographics and pulmonary function test</b>				
Male (N, %)	40	69.0%	–	–
Age at diagnosis (years)	66.4	8.2	67.0	61.0–72.0
Pack-years of smoking	15.5	14.2	20.0	0–22.0
FVC %pred	80.1	18.0	77.5	67.0–92.0
DLco %pred	57.8	16.5	55.0	45.0–69.0
GAP score (max score 8)	3.12	1.52	3.0	2.0–4.0
Stage I (N, %)	36	63.2%	–	–
Stage II (N, %)	19	33.3%	–	–
Stage III (N, %)	2	3.5%	–	–
<b>Visual score (%)</b>				
Total ILD extent	48.7	16.5	48.8	39.6–60.0
Ground glass opacity	6.2	11.3	0.48	0–7.63
Reticular pattern	34.5	17.7	36.6	17.6–49.1
Honeycombing	7.80	12.7	1.81	0–9.04
Consolidation	0.17	0.81	0	0–0
Total emphysema	2.43	5.50	0	0–2.92
Mosaicism	1.49	4.19	0	0–1.25
TxBx severity	6.15	2.89	6.0	4.5–8.0
<b>CALIPER metrics (%)</b>				
Total lung fibrosis extent	17.86	13.46	13.82	8.50–27.91
Ground glass opacity	8.39	10.30	5.20	1.89–11.86
Reticular pattern	8.02	6.21	5.59	4.18–10.58
Honeycombing	1.44	2.77	0.50	0.23–1.63
Emphysema	2.00	3.68	0.71	0.25–1.96
Non-specific air-trapping	32.03	21.87	29.39	9.59–50.75
VRS	4.18	1.69	3.89	3.00–5.13
<b>Follow-up information</b>				
Follow-up (months)	47.0	14.7	43.8	35.8–57.0
Event-free survival (months)	62.5	29.2	68.4	45.7–73.7

FVC%pred, forced vital capacity percentage of predicted; DLco%pred, diffusing capacity of the lung for carbon monoxide percentage of predicted; GAP, gender age physiology; ILD, interstitial lung disease; TxBx, traction bronchiectasis; VRS, pulmonary vessel-related volume

\*Quartile range was presented as the 25th percentile and 75th percentile

0.641) did not refine the stratification by baseline GAP score and pack-years.

### HRCT1-HRCT0 changes

At HRCT1, visual categories of disease behavior (progression vs. stability/improvement; HR 7.46; 95%CI, 2.39–23.19) and continuous VRS change (HR 1.55; 95%CI, 0.91–2.6) were the HRCT metrics that best associated with the long-term

**Table 2** Multivariate Cox regression analyses of the study outcome (death or lung transplant) according to baseline indexes

	HR*	95% CI	HR**	95% CI
<b>Visual scoring</b>				
Reticular pattern	1.054	1.020–1.090	1.05	1.01–1.09
GAP score	–	–	1.28	0.90–1.82
Pack-years of smoking	–	–	1.00	0.95–1.04
C-statistic	0.661		0.596	
<b>CALIPER metrics</b>				
Reticular pattern	1.046	0.956–1.145	1.06	0.96–1.16
VRS	1.469	1.026–2.102	1.40	0.91–2.15
GAP score	–	–	1.00	0.65–1.55
Pack-years of smoking	–	–	1.01	0.97–1.05
C-statistic	0.673		0.641	
GAP score	–	–	1.47	1.04–2.08
Pack-years of smoking	–	–	1.01	0.97–1.04
C-statistic			0.712	

HR, hazard ratio; CI, confidence interval; GAP, gender age physiology; ILD, interstitial lung disease; VRS, pulmonary vessel-related volume

\*HR for continuous increase of one unit mutually adjusted for all variables (selected according to stepwise proportional hazards analyses) reported in each subgroup of analyses types

\*\*Cox regression analyses in each subgroup of analysis types included also adjustment for GAP score and pack-years of smoking

outcome (adjusted for both baseline GAP score and pack-years of smoking, Table 3).

The relative increase in CALIPER-total lung fibrosis volume  $\geq 20\%$  was the best threshold for the stratification of outcome, according to the ROC analysis. The C-statistic of relative increase in CALIPER-total lung fibrosis volume  $\geq 20\%$  showed the best association (C-statistic 0.62; HR 2.89; 95%CI, 1.13–8.37) compared with either visual scoring of disease progression (C-statistic 0.52; HR 7.46; 95%CI, 2.39–23.19) or FVC% decline  $\geq 10\%$  (C-statistic 0.58; HR 9.45; 95%CI, 3.14–30.87).

The model combining FVC% drop  $\geq 10\%$  and relative increase of CALIPER-total lung fibrosis  $\geq 20\%$  improved the stratification of outcome (C-statistic 0.69) by definition of 3 risk categories, notably with the “high-risk” category showing HR 12.1 (95%CI, 3.1–46.7). The landmark analysis at HRCT1 substantially confirmed the stratification of outcome (Fig. 3), and the HR for the high-risk category was 14.9 (95%CI 3.9–56.8; C-statistic 0.66).

Likewise, the combination HRCT1-HRCT0 visual categories of disease behavior to FVC% drop  $\geq 10\%$  showed improved discrimination of long-term outcome compared with either metric alone (C-statistic 0.64). The landmark analysis at HRCT1 substantially confirmed the stratification of outcome, as detailed in Fig. 4b (C-statistic 0.60).

Similar findings were obtained by including acute exacerbation into the study outcome (“Post hoc analysis” in supplementary material).

**Table 3** Multivariate Cox regression analyses\* of the study outcome (death or lung transplant) according to HRCT1-HRCT0 and HRCT2-HRCT0 changes

	HRCT1-HRCT0		HRCT2-HRCT0	
	HR	95% CI	HR	95% CI
<b>Pulmonary function test</b>				
FVC%pred drop > 10%	9.85	3.14–30.88		
DLCO%pred drop > 15%	4.37	1.32–14.46		
FVC%pred trend decrease during all study period		3.87 1.16–12.93		
<b>Visual score (%)</b>				
Total ILD extent	1.375	0.943–2.006	1.294	1.070–1.566
Ground glass opacity	1.068	0.978–1.166	0.981	0.895–1.075
Reticular pattern	1.137	1.017–1.270	1.115	1.042–1.194
Honeycombing	0.996	0.863–1.149	0.966	0.885–1.055
Consolidation	0.044	0.001–1.667	0.317	0.001–174.99
Total emphysema	1.221	0.865–1.723	1.184	0.984–1.422
Mosaicism	0.597	0.324–1.098	0.830	0.453–1.519
TxBx severity	1.281	0.932–2.721	1.199	0.972–2.331
<b>Disease behavior change</b>				
Stability/improvement	1	Reference	1	Reference
Progression	7.456	2.396–23.198	2.264	0.671–7.639
<b>CALIPER metrics (%)</b>				
Total lung fibrosis extent	1.057	1.006–1.111	1.075	1.031–1.121
Ground glass opacity	1.040	0.980–1.105	1.068	1.028–1.110
Reticular pattern	1.006	0.971–1.042	0.985	0.906–1.071
Honeycombing	1.637	0.719–3.728	0.960	0.734–1.257
Emphysema	1.266	0.818–1.959	0.956	0.797–1.148
Non-specific air-trapping	0.977	0.932–1.025	0.985	0.944–1.027
VRS	1.549	0.908–2.644	0.987	0.946–1.028

HRCT, high-resolution chest computed tomography; HR, hazard ratio; CI, confidence interval; FVC%pred, forced vital capacity percentage of predicted; DLCo%pred, diffusing capacity of the lung for carbon monoxide percentage of predicted; GAP, gender age physiology; ILD, interstitial lung disease; TxBx, traction bronchiectasis; VRS, pulmonary vessel-related volume

\*HR for continuous increase of one unit, if not otherwise specified, adjusted for GAP score at baseline and pack-years of smoking

### HRCT2-HRCT0 changes

The FVC% decrease trend until HRCT2 showed lower association with outcome (HR 3.87; 95%CI, 1.16–12.93) than that of FVC% drop  $\geq$  10% at HRCT1 (HR 9.85; 95%CI, 3.14–30.87), after adjusting for both baseline GAP score and pack-years of smoking (Table 3).

The relative increase in CALIPER-VRS  $\geq$  20% from baseline to HRCT2 was the best threshold for stratification of outcome, according to the ROC analysis. The relative increase in CALIPER-VRS  $\geq$  20% showed the best association (C-statistic 0.59; HR 5.65; 95%CI, 1.69–18.87) compared with either visual scoring of disease progression (C-statistic 0.52; HR 2.26; 95%CI, 0.67–7.64) or FVC% decrease trend during the study period (C-statistic 0.45; HR 3.87; 95%CI, 1.16–12.93). The model combining FVC% decrease trend until HRCT2 and relative increase of CALIPER-VRS  $\geq$  20% improved the stratification of outcome (C-statistic 0.65). The landmark

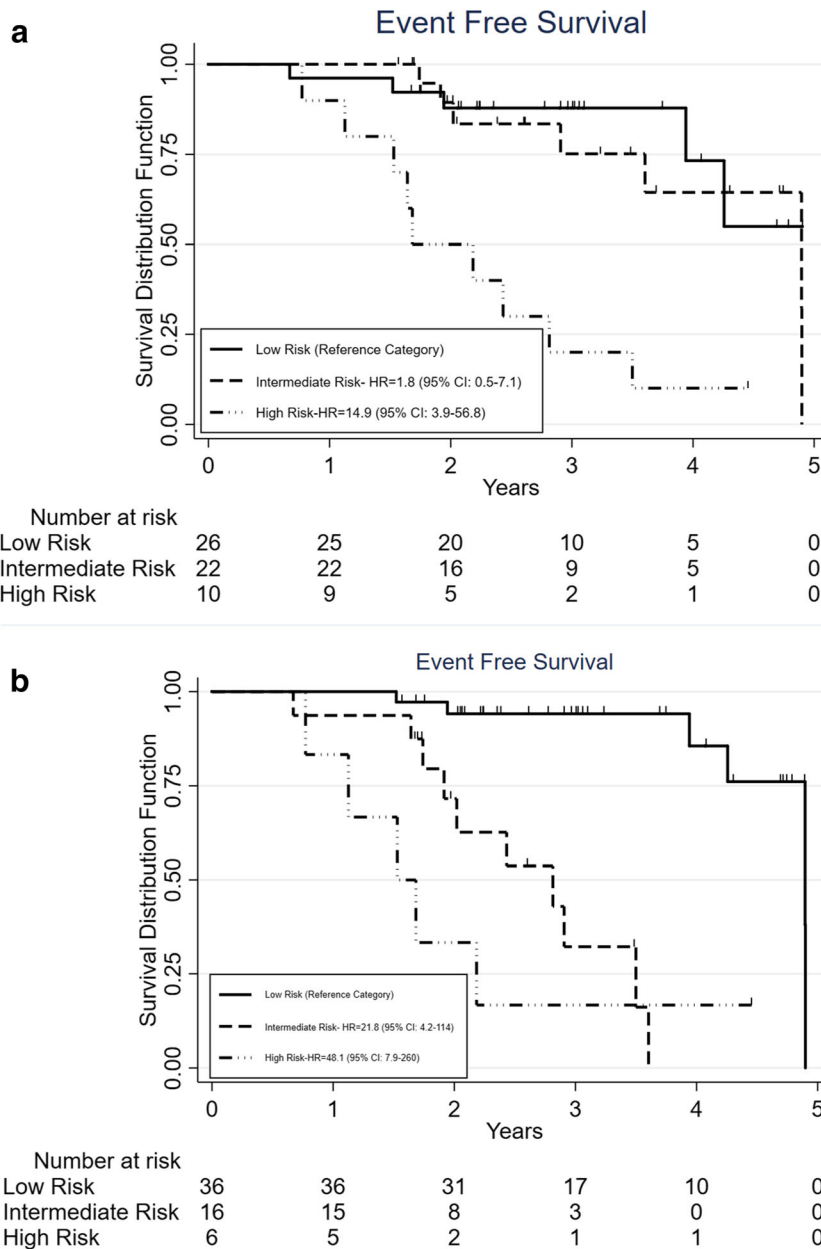
analysis at HRCT2 substantially confirmed the stratification of outcome, as detailed in Fig. 4a (C-statistic 0.58).

Likewise, combining HRCT2-HRCT0 visual categories of disease behavior with FVC% trend decline showed improved discrimination of long-term outcome compared with either metric alone (C-statistic 0.60). The landmark analysis at HRCT1 and HRCT2 substantially confirmed the stratification of outcome, as detailed in Fig. 4b (C-statistic 0.59).

Similar findings were obtained by including acute exacerbation into the study outcome (“Post hoc analysis” in supplementary material).

### Discussion

The role of HRCT in the follow-up of subjects of IPF without acute exacerbation is still under debate. The study results suggest that serial HRCT data yield potentially better stratification of

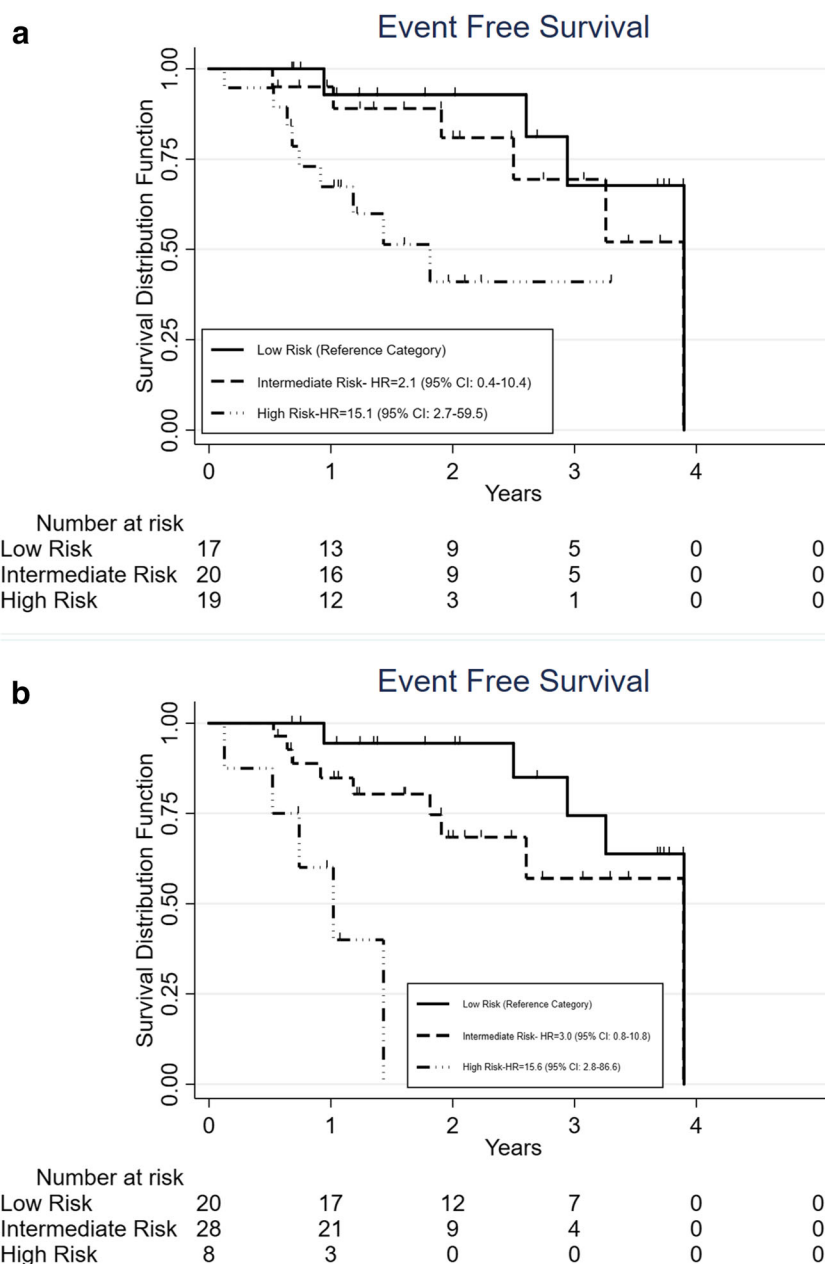


**Fig. 3 a** Event-free survival calculated in landmark analysis beginning at HRCT1 after the enrolment for patients in strata of FVC%pred drop  $\geq 10\%$  and CALIPER-total lung fibrosis relative increase  $\geq 20\%$  at HRCT1. Study outcome: death or lung transplant. (C-statistic 0.66) FVC%pred, forced vital capacity percentage of predicted; ILD, interstitial lung disease. Risk categories were defined as follows: Low risk, no FVC% drop  $\geq 10\%$  and no total fibrosis extent relative increase  $\geq 20\%$  at HRCT1. Intermediate risk, FVC% drop  $\geq 10\%$  or total fibrosis extent relative increase  $\geq 20\%$  at HRCT1. High risk, FVC%pred drop  $\geq 10\%$  and total fibrosis extent relative increase  $\geq 20\%$  at HRCT1. Hazard ratios (HR) and corresponding 95% confidence intervals (95% CI) were derived using Cox regression model adjusted for GAP score and pack-years of

smoking. **b** Event-free survival calculated in landmark analysis beginning at HRCT1 after the enrolment for patients in strata of FVC%pred drop  $\geq 10\%$  and visually assessed ILD behavior at HRCT1. Study outcome: death or lung transplant. (C-statistic 0.60) FVC%pred, forced vital capacity percentage of predicted; ILD, interstitial lung disease. Risk categories were defined as follows: Low risk, no FVC%pred  $\geq 10\%$  and no visual disease progression at HRCT1. Intermediate risk, FVC%pred drop  $\geq 10\%$  or visual disease progression at HRCT1. High risk, FVC%pred drop and visual disease progression at HRCT1. Hazard ratios (HR) and corresponding 95% confidence intervals (95% CI) were derived using Cox regression model adjusted for GAP score and pack-years of

outcome beyond 2 years of disease stability, in addition to baseline GAP. In particular, longitudinal HRCT changes in volume of lung fibrosis at 12–14 months may augment the stratification of outcome beyond the lone decline in FVC.

The narrowed study inclusion criteria are of particular interest because subjects with IPF in early-/mid-term relatively stable clinical conditions represent a sizeable group of IPF patients in the era of anti-fibrotic treatment. Indeed, the study cohort was



**Fig. 4 a** Event-free survival calculated in landmark analysis beginning at HRCT2 after the enrolment for patients in strata of FVC%pred trend and VRS relative increase  $\geq 20\%$  at HRCT2. Study outcome, death or lung transplant. (C-statistic 0.58). FVC%pred, forced vital capacity percentage of predicted; VRS, pulmonary vessel related volume. Risk categories were defined as follows: Low risk, no FVC%pred trend increase and no VRS relative increase  $\geq 20\%$  at HRCT2. Intermediate risk, FVC% trend increase or VRS relative increase  $\geq 20\%$  at HRCT2. High risk, patients with FVC% trend increase and VRS relative increase  $\geq 20\%$  at HRCT2. Hazard ratios (HR) and corresponding 95% confidence intervals (95% CI) were derived using Cox regression model adjusted for GAP score and pack-years of smoking. **b** Event-free survival calculated in landmark

analysis beginning at HRCT2 after the enrolment for patients in strata of FVC%pred trend and visually assessed disease behavior at HRCT2. Study outcome, death or lung transplant. (C-statistic 0.59) FVC%pred, forced vital capacity percentage of predicted. Risk categories were defined as follows: Low risk, no FVC%pred trend increase and no visual disease progression at HRCT2. Intermediate risk, FVC%pred trend increase or visual disease progression at HRCT2. High risk, FVC% trend increase and visual disease progression at HRCT2. Hazard ratios (HR) and corresponding 95% confidence intervals (95% CI) were derived using Cox regression model adjusted for GAP score and pack-years of smoking

mostly constituted by subjects with mild to moderate disease (as defined by GAP staging), including anti-fibrotic treatment, without major complications through 2 years. In this context, clinico-functional changes are often subtle and prediction of

prognosis may be extremely difficult. While GAP is clinically engraved and tightly associated with IPF outcome, these data show that longitudinal HRCT data can add potentially relevant information for both clinical practice and drug trials.



At baseline, no HRCT index substantially improved the stratification of outcome by GAP score and pack-years of smoking. This observation is in contrast with those reported by Jacob who showed that the GAP staging system was marginally weaker in predicting mortality than combined CALIPER-VRS and honeycombing, at baseline [6]. Several factors may explain these differences, as discussed in the [supplementary material](#). Of note, the result of the present study should be deemed relevant in the group of subjects with prolonged stability that are mostly represented in the non-advanced GAP stages.

Discrepancy between physiology and morphology is well known in follow-up of ILD [34, 35]. In keeping with prior studies, the change in the total extent of ILD as assessed by quantitative analysis or visual scoring poorly or moderately correlated with changes in FVC [18, 22, 36]. This complex structure/function relationship suggests that complementary information is expected by imaging.

In keeping with the study by Flaherty [5], we observed that the association of outcome and FVC decline at 12–14 months outstayed the above changes in any HRCT score. Nevertheless, our study models show that either imaging domain—visual or CALIPER score—can be gathered to physiology for refined stratification of IPF outcome. The study models entail both discordance and concordance between critical functional and HRCT scores that can be frequently encountered in clinical practice. Notably, in the absence of FVC and HRCT changes, the percentage of subjects who either died or underwent lung transplantation was lower (low risk) than that recorded when either one was present (intermediate risk). Furthermore, the likelihood of meeting the study outcome was substantially higher when both FVC and CALIPER inputs were present (high risk). Such a stratification was clear-cut using either a side-by-side visual comparison between HRCT time points or relative change in the CALIPER score. The moderate to good levels of interobserver agreement for the visual comparison of the HRCT scans are in contrast with the worse agreement reported by prior studies [37]. Indeed, the utility of the software in the follow-up of IPF seems even more obvious than at baseline.

In keeping with Jacob's studies, the longitudinal change in VRS was an optimal biomarker of "silent" disease progression [38, 39]. The VRS measure not only predominantly quantifies pulmonary arteries and veins but also captures connected tubular structures mainly representing adjoining regions of fibrosis. In fact, major collinearity of VRS with the CALIPER-total volume of lung fibrosis was recorded in the multivariate models at both baseline and longitudinal analyses. However, the selected variables and thresholds were designated by ROC analysis for stratification of outcome: we found that the relative change of total volume of lung fibrosis best fits the model at HRCT1, whereas relative change of VRS did it at HRCT2, maybe reflecting a relatively minor progressive asymptomatic

accumulation hardly detected by visual assessment. Of note, at HRCT2, VRS in isolation was superior to the FVC trend in predicting the study outcome.

In a population of early IPF patients, the evaluation of the longer follow-up was considered appropriate since it was not fully clear when HRCT changes could become appreciable either visually or quantitatively. The study findings suggest that the shorter time interval at 12–14 months is more associated with the study outcome. Importantly, this was confirmed by landmark analysis further corroborated by adding subsequent acute exacerbations—an important clinical trial endpoint—to the study outcome (see [supplementary material](#)).

Most prior studies evaluating longitudinal HRCT data included only one follow-up HRCT scan and composite outcomes such as functional decline, hospitalization, and death [17, 18, 38, 40, 41]. Conversely, the present study applies composite stratification approach by radiology and functional decline because these are indeed the preclinical inputs before actual disease progression with hospitalization, transplant, or death. In keeping with the present study, Maldonado first suggested that short-term changes in either total volume of interstitial abnormalities or volume of reticular opacities by the CALIPER were predictive of survival in 55 subjects with IPF free of acute complications [20]. The radiologist interpretation of overall global change in terms of progression of ILD was also predictive. However, compared with the present study, the authors investigated a single follow-up time point with a substantially wider time interval starting at 3 months (range 3–15 months compared with 12–14 months in our study) and a fairly lower median follow-up time (28 months compared with 43 months in our study). Furthermore, Maldonado did not evaluate the interaction between FVC% and HRCT changes in stratification of outcome. Our findings are in keeping with those reported by Lee in a recent study of 144 subjects with IPF [21]. The change in the extent of fibrosis at 12-month follow-up CT scan (quantified by an in-house texture-based automated system) was significantly associated with survival along with age, desaturation, and the quantitative extent of lung fibrosis at baseline. Despite the technical differences between the computer-based methods, such consistency emphasizes the utility of the software as a surrogate endpoint for the longitudinal evaluation of IPF, especially in long-term stable subjects such as those under anti-fibrotic treatment. A major difference between our study and Lee's is represented by the clinical applicability. While Lee et al reported the association by single-unit increase (continuous fashion), we report a discrete threshold for the definition of nominal risk classes based on HRCT change  $\geq 20\%$  relative extent of fibrosis by CALIPER at 12 months.

Although a change  $\geq 20\%$  relative extent of fibrosis may seem a big increase at first sight, it is worth clarifying that such a relative change in a subject with, for example, 17.9% of lung

fibrosis at baseline (i.e., the mean extent in our study population as quantitated by the CALIPER) would correspond to an absolute increase of 3.6%. This figure is in keeping with that of Humpries who reported the absolute increase of 3.4% of lung fibrosis by a machine learning–based automated tool which represents a clinically important change [22].

This study has limitations, and the first was related to the observational study in terms of collection criteria and results that should be carefully confirmed before integration in clinical practice. Noteworthy, the retrospective fashion allows stratification of risk in terms of association rather than prediction. Second, the narrowed inclusion criteria greatly reduced the size of the study population: this selection was specifically intended in the foreseeable perspective of patients with early-stage IPF, both in clinical practice and in clinical trials. Also, it is worth mentioning that a sizeable proportion of subjects from one center could not be included because of non-volumetric HRCT that hampered the CALIPER analysis. The relatively small study population was an important limitation also for the multivariate models (as shown by the wide 95% CIs). However, we restricted the multivariate models to a few adjustment variables, according to the rule proposed by Harrell [42]. Nonetheless, the strict selection criteria were intended for the simulation of the prototypical early-diagnosed IPF patient who is expected in the next future of clinical practice and trial recruitment [39].

In conclusion, the simple combination of FVC% and HRCT changes shows the synergistic role that physiology and morphology play in IPF outcome. Noteworthy, both FVC% and HRCT changes are independently associated with IPF outcome, while their combination at 12–14 months refines stratification compared with either individual one. Prospective studies are fostered to test the reproducibility of the proposed radiological contribution to longitudinal IPF characterization.

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## Compliance with ethical standards

**Guarantor** The scientific guarantor of this publication is Nicola Sverzellati.

**Conflict of interest** The authors of this manuscript declare relationships with the following companies:

Dr. Sverzellati reports grants and personal fees from Roche, personal fees from Boehringer-Ingelheim, outside the submitted work.

Dr. Tomassetti reports grants and personal fees from Roche, personal fees from Boehringer-Ingelheim, outside the submitted work.

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Dr. Bartholmai reports personal fees from Promedior, LLC, and from Imbio, LLC, outside the submitted work. Mayo Clinic has received grants

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Mr. Karwoski reports other from Imbio Inc., outside the submitted work.

**Statistics and biometry** One of the authors has significant statistical expertise.

**Informed consent** Written informed consent was waived by the Institutional Review Board.

**Ethical approval** Institutional Review Board approval was obtained.

## Methodology

- retrospective
- prognostic study/observational
- multicenter study

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